

**ITTLESON
FOUNDATION**

2009 Annual Report

15 East 67 Street
New York . New York 10065

OFFICERS AND DIRECTORS

H. Anthony Ittleson
Chairman and President

Pamela Syrmis
Vice President

Andrew Auchincloss
Director

H. Philip Ittleson
Director

Stephanie Ittleson
Director

Christina Ittleson Smith
Director

Victor Syrmis, M.D.
Director

Anthony C. Wood
Secretary and Executive Director

Henry P. Davison II
Treasurer

CONTENTS

A History of the Foundation

Chairman Statement

Executive Director Report

Current Interests

2009 Grants

Grants Summary

Statement of Net Assets

IN 1948, THE FOUNDATION BEGAN TO FOCUS ITS INTERESTS ON THE FIELDS OF HEALTH, WELFARE, PUBLIC EDUCATION FOR MENTAL HEALTH, AND INTERCULTURAL RELATIONS. WITHIN THESE MAJOR INTERESTS, SPECIAL EMPHASIS WAS PLACED ON MENTAL HEALTH AND THE MENTAL, PHYSICAL AND SOCIAL WELL-BEING OF CHILDREN.

A HISTORY OF THE FOUNDATION

In 1932, Henry Ittleson, the founder of the CIT Financial Corporation, created the Ittleson Foundation. He served as presiding trustee of the Foundation, and his wife Blanche F. Ittleson, and their son Henry Ittleson Jr., as trustees, continued in their respective roles until 1948.

In that year Mr. Ittleson died and Henry Ittleson, Jr. became the presiding trustee. At that time Mrs. Henry Ittleson, Jr. joined the Foundation as a trustee.

In 1948, under the leadership of Blanche F. Ittleson and Henry Ittleson, Jr., the Foundation began to focus its interests on the fields of health, welfare, public education for mental health, and intercultural relations. Within these major interests, special emphasis was placed on mental health and the mental, physical, and social well-being of children. Over the years other issues, such as the needs of the elderly, homelessness, and crime and justice, have received the Foundation's attention. Today the Foundation's areas of particular interest are: mental health, AIDS, and the environment.

With the death of Henry Ittleson, Jr. in 1973, H. Anthony Ittleson, grandson of the founder and a trustee since 1966, became President of the Foundation. Under his stewardship the Foundation has continued its signature role of providing seed money for new ideas and initiatives by supporting pilot projects, demonstration projects, and applied research.

The Foundation has a long tradition of active grant making and has been fortunate in the high quality of its executive staff leadership in the persons of Nina Ridenour (1952-67), William T. Beaty, II, (1967-88), David M. Nee (1988-1993), and Anthony C. Wood (1993-). Since its founding, the Foundation has also benefited from the insights of a variety of distinguished trustees.

Today the Foundation, its trustees and staff continue to build on the philanthropic foundation laid by its founder and developed with skill and devotion by his wife Blanche F. Ittleson and their son, Henry Ittleson, Jr.

AS A SMALL FOUNDATION COMMITTED TO MAKING A REAL DIFFERENCE, WE ARE STRATEGIC IN OUR GRANT MAKING. WE SEEK OPPORTUNITIES TO PROVIDE SEED MONEY FOR INNOVATIVE PILOT AND MODEL PROGRAMS.

CHAIRMAN'S STATEMENT

Frequently I am asked, "What does it take to get a grant from the Ittleson Foundation?" My answer is straightforward yet necessarily ambiguous, "It takes a request that falls within our funding guidelines *and* something that 'sparks' our interests.

The Foundation continues to focus its resources on AIDS, the Environment, and Mental Health. Though funding broadly in each area, we do have specific concerns. In AIDS we focus on prevention and the mental health consequences of the disease. In the Environment we look to educate a new generation of environmentalists. We are also intrigued by urban environmental issues and efforts at resource protection. In Mental Health we prefer projects that cut across the entire field and those that address underserved populations.

Matching one of our program guidelines, however, is only the first step towards a grant. As a small foundation committed to making a real difference, we are strategic in our grant making. We seek opportunities to provide seed money for innovative pilot and model programs. We are willing to take risks on new ideas and inspired yet untested new leaders. This of course is not at the exclusion of proven professionals, many of whom the foundation supported before they became well-recognized. We fund applied research but only when we see it linked to real change in the lives of real people. We look for situations where our involvement brings more to the table than our dollars. Will our participation attract other funders? Can the technical help we offer make an essential difference? Is there a special role we can play?

Lastly, there is that element of "sparking". Ours is a highly competitive process. With limited funds and many worthy projects, good ideas must compete with one another. In seeking a balance of subject matter, project type and, as a national foundation, geographic diversity, the deciding factor between worthy applications often becomes one of these variables. Hence, as with much of life, there is an element of that little "extra" that enters into the process.

If you feel your request is right for us, I encourage you to submit it. Though our ability to help is limited, our admiration for those working in the field seeking to make a difference is unlimited. We urge you onward in your work and wish you the best of success with us and other potential supporters.

H. Anthony Ittleson
Chairman

***WE LOOK TO UNITE OUR FISCAL RESOURCES WITH THE CREATIVE ENERGY,
EXPERTISE AND FOCUSED PASSION OF OUR GRANTEEES.***

EXECUTIVE DIRECTOR'S REPORT

Change is one of the few things in life that is constant. Recognizing the profound nature of the changes that have occurred in the last decade, the Foundation recently decided it was time to step back and examine how these events have impacted our three primary areas of concern: AIDS, the Environment, and Mental Health. Though our commitment to continue funding in these areas was never in doubt, what was open for examination was the particular focus of our work within them.

The description of our current funding interests that appears in the following pages is a result of this just completed examination and exploration. The adjustments we have made to our funding program are not as dramatic as they are strategic. They reflect subtle yet important shifts of focus that we feel allow the Foundation to better address the current needs of each field.

Before highlighting some of these refinements, it is important to emphasize what has not changed. Our "venture capital" approach to philanthropy remains strong. It is the innovative pilot, model, and demonstration project that still commands our attention. In all three areas we are committed to assisting underserved populations and we remain particularly interested in projects that address the needs of children and the elderly. Projects that link formal professional competence with community-based efforts continue to interest us.

There are, however, some exciting new concerns called out in each of our funding areas. To highlight just a few: in AIDS, we add to our interest in prevention a heightened concern for making treatment information accessible, available and easily understandable. In the Environment, our focus shifts from educating a new generation of environmentalists to helping move society from environmental awareness to environmental activism. In Mental Health, we recognize the still much-needed effort to fight the stigma associated with mental illness as well as the growing need to utilize new knowledge and current technological advances to improve programs and services for those with mental illness.

For a fuller detailed description of our program interests please read on. We believe the changes we have made, combined with the Foundation's longstanding philanthropic style and approach, best position us to respond to the challenges and opportunities that lie ahead. As always, we look to unite our fiscal resources with the creative energy, expertise, and focused passions of our grantees. Indeed, when these are combined, great things happen.

*Anthony C. Wood
Executive Director*

WE LOOK FOR PILOT PROJECTS, TEST AND DEMONSTRATION PROJECTS AND APPLIED RESEARCH THAT WOULD INFORM PUBLIC POLICY, IF SUCCESSFUL. SUCH PROJECTS SHOULD BE OF NATIONAL SCOPE OR SIGNIFICANCE BEYOND THE LOCAL AREA OF IMPLEMENTATION. PROJECTS SHOULD RESULT IN A PRODUCT OR OUTCOME OF SOME CONSEQUENCE IN THE REAL WORLD.

CURRENT INTERESTS

The Ittleson Foundation generally provides seed money for the start-up of innovative programs that will improve the social welfare of citizens of the United States. We look for pilot projects, test and demonstration projects, and applied research that would inform public policy, if successful. Such projects should be of national scope, or significance beyond the local area of implementation. Projects should result in a product or outcome of some consequence in the real world. The Foundation also supports dissemination and, on occasion, evaluation.

We will support a pilot program or the start-up of a new service when:

- . the service or project appears to be truly innovative
- . there are practical plans for evaluation
- . there appears to be an audience for the results
- . there is a credible plan for dissemination to those audiences and utilization of these results elsewhere.

Current areas of particular interest listed in alphabetical order are:

I. AIDS

AIDS remains a significant concern of the Ittleson Foundation. Our commitment to supporting cutting-edge prevention efforts remains strong. As in all our current areas of interest, innovative initiatives that involve more than one program area are encouraged.

We are particularly interested in new model, pilot, and demonstration efforts:

- . addressing the needs of underserved at-risk populations and especially those programs recognizing the overlap between such programs
- . responding to the challenges facing community-based AIDS service organizations and those organizations addressing systemic change
- . providing meaningful school-based sex education
- . making treatment information accessible, available and easily understandable to those in need of it
- . addressing the psycho-social needs of those infected and affected by AIDS, especially adolescents

II. ENVIRONMENT

The Ittleson Foundation supports innovative pilot, model and demonstration projects that will help move individuals, communities and organizations from environmental awareness to environmental activism by changing attitudes and behaviors.

We particularly seek to encourage and nurture environmental action through:

- . supporting the present generation of environmental activists, whether professionals or volunteers through education, training and other activities
- . educating and engaging the next generation of environmentalists with a special interest in supporting the training of those who are teaching that generation
- . strengthening the infrastructure of the environmental movement with a particular focus on efforts at the grassroots and statewide levels
- . activating new constituencies, particularly those focused on environmental equity issues

III. MENTAL HEALTH

Since 1932 Mental Health has been a major focus at the Ittleson Foundation. The Foundation continues its commitment to bringing its venture capital approach to philanthropy to this area. In addition to our historic commitment to addressing the needs of underserved populations, we are particularly interested in innovative, pilot, model and demonstration projects that are:

- . fighting the stigma associated with mental illness and working to change the public's negative perception of people who have mental illness
- . utilizing new knowledge and current technological advances to improve programs and services for people who have mental illness
- . bringing the full benefits of this new knowledge and technology to those who presently do not have access to them
- . advancing preventative mental health efforts, especially those targeted to youth and adolescents, with a special focus on strategies that involve parents, teachers, and others in close contact with these populations

Please note that the Foundation does not generally provide funds for:

- . capital building projects
- . endowments
- . grants to individuals
- . scholarships or internships (except as part of a program)
- . direct service programs, especially when outside New York City
- . projects that are local in focus and unlikely to be replicated
- . continuing or general support
- . projects and organizations that are international in scope or purpose
- . biomedical research

HOW TO APPLY

To apply, send a brief letter to the Executive Director describing the organization and the work for which funds are being sought, along with a budget and evidence of tax-exempt status. If the activity falls within the current scope of the Foundation's interests, the applicant will be asked to supply additional information as required.

The Foundation Board meets once a year. Initial letters of inquiry must be received on or before September 1st.

Should we decline to support a proposal, we ask applicants to wait at least one year before reapplying for any purpose.

Inquiries should be address to:

Anthony C. Wood
Executive Director
Ittleson Foundation, Inc.
15 East 67 Street
New York, NY 10065

2009 GRANT SUMMARIES

Grants Paid During 2009

Including Payments for Grants Approved in Prior Years

AIDS

Cesar E. Chavez Institute **\$20,000**

San Francisco State University

3004 16th Street #301

San Francisco, CA 94103

One time grant to develop new family interventions and a new family-related model of care to reduce the risk for HIV and mental health problems in lesbian, gay, bisexual and transgender (LGBT) youth.

(Second payment of a \$75,000 grant)

ENVIRONMENT

Antioch University New England **\$5,000**

40 Avon Street

Keene, NH 03431

One time grant to implement a four-part strategy to develop and advance the emerging field of Conservation Psychology. That field uses the insights of the social sciences, particularly psychology, to better understand how people think, feel, and act in relation to the natural world and how they perceive their own lives.

(Final payment of a \$70,000 grant)

Audubon Vermont **\$45,000**

National Audubon Society

225 Varick Street

New York, NY 10014

One-time grant to refine, replicate, and nationally disseminate Audubon Vermont's innovative new approach to engaging landowners and communities in sustainable forestry practices to reverse the dramatic decline in the populations of many priority bird species.

(First payment of a \$75,000 grant)

Center for Whole Communities **\$30,000**

Knoll Farm, 700 Bragg Hill Road

Fayston, VT 05673

One-time grant to support their initiative "Strategy 2042." The goal of this project is to empower the future leadership of the conservation movement in America to be able to successfully engage people of color.

(First payment of a \$75,000 grant)

Clean Air – Cool Planet **\$50,000**
161 Cherry Street
New Canaan, CT 06840
One-time grant to create, test, pilot, and promote CEFS (Charting Emissions from Food Services) Calculator to measure and help reduce global warming impacts of specific food services practices.
(First payment of an \$85,000 grant)

Cornell University **\$25,000**
183 Madison Avenue, Ste. 606
New York, NY 10016
For “Participatory Development of an Urban Forestry Community Engagement Model.” The goal is to develop a model to involve the public in the planning, planting and stewardship of urban forestry efforts.
(Second payment of a \$75,000 grant)

ioby (In Our Backyards) **\$60,000**
232 East 11th Street
New York, NY 10003
One-time grant to test their NYC pilot online micro-philanthropic initiative supporting local environmental work and to develop a robust model for replication in other cities.
(First payment of a \$90,000 grant)

Oceana **\$10,000**
1350 Connecticut Avenue, NW
Washington, DC 20036
One time grant to support its Recreational Fishing Campaign to reduce the bycatch (the unintended and then wasted fish accidentally caught because of fishing techniques) by the commercial fishing industry of big game fish.
(Final payment of a \$60,000 grant)

Shelburne Farms **\$40,000**
1611 Harbor Road
Shelburne, VT 05482
Onetime grant to pilot and launch The Confluence Collaborative at Shelburne Farms. The Collaborative will be both a real and virtual mechanism to enhance the national dissemination of model place-based and other innovative environmental programs moving people from environmental awareness to action in their own communities
(First payment of a \$70,000 grant)

MENTAL HEALTH

Barnaba Institute

\$15,000

24 G West Main Street

PMB 395

Clinton, CT 06413

One time grant to produce and disseminate a training program designed to address the unique mental health needs and concerns of the victims of human trafficking and commercial sexual exploitation.

(Final payment of a \$70,000 grant)

Iraq & Afghanistan Veterans of America

\$15,000

770 Broadway, 2ndFloor

New York, NY 10003

One time grant to launch the “Community of Strength” initiative to address the issues surrounding combat-related mental health injuries for these veterans. In particular, funding would help them design, test, and replicate a chapter model to physically connect, on a geographic basis, veterans to create a peer-led “community” providing them everything from recreational activities, welcome home events, workshops, seasonal celebrations, volunteer opportunities, etc.

(Second payment of a \$70,000 grant)

Red Hook Initiative

\$20,000

201 Richards Street

Brooklyn, NY 11231

One time grant to expand their “Family Institutes of Bold Hope” model in New York City and to make available to social service providers nationwide, resources, training, and technical assistance to replicate this eight session (with follow-up support) family therapeutic intervention for children with emotional and mental health challenges.

(Second payment of a \$75,000 grant)

GRANTS SUMMARY

TOTAL 2009 PROGRAM GRANTS

| | |
|-----------------------------|-----------|
| Total Program Grants | \$340,000 |
| Miscellaneous | 141,325 |
| Membership and Other Grants | 6,790 |
| Grand Total | \$480,115 |

STATEMENT OF NET ASSETS

At Fair Market Value, December 31, 2009

| | |
|---|--------------|
| ASSETS | \$207,755 |
| <i>Cash and Institutional Funds</i> | |
| <i>Investments at Fair Market Value</i> | \$15,613,090 |
| (Cost \$13,988,857) | |
| NET ASSETS: December 31, 2009 | \$15,820,845 |

